10-04-00

A

Address to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. NSC1-H1700
[P04797]
First Named Inventor DAVID W. CARLSON

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:

METHOD FOR PLANARIZING A THIN FILM

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date October 2, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL254114063US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

LANA T. BRENNER

_____   _____
(Name of person mailing paper)   (Signature)

Enclosed are:

1. _X_ Transmittal Form (two copies required)

2. The papers required for filing date under CFR § 1.53(b):
   i. __11__ Pages of specification (including claims and abstract);
   ii. _2_ Sheets of drawings.
       __ formal   _X_ informal

3. Declaration or oath

   a. _X_   Newly executed (original or copy)

4. __   Microfiche Computer Program (Appendix, see 37 CFR 1.96)

5. __   Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   i.   __ Computer Readable Copy
   ii. __ Paper Copy (identical to computer copy)
   iii. __ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

6. _X_ An assignment of the invention to NATIONAL SEMICONDUCTOR CORPORATION is attached (including Form PTO-1595).

   i. __ 37 CFR 3.73(b) Statement (when there is an assignee)

7. __ Power of Attorney

8. __ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of __ references.

9. __ Preliminary Amendment.

10. _X_ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)

11. __ Other

12. FOREIGN PRIORITY
   [] Priority of application no. _ filed on _ in _ is claimed under 35 USC 119.

   The certified copy of the priority application:
   _   is filed herewith; or
   _   has been filed in prior application no. __NEW__ filed on __HEREWITH__ , or
   _   will be provided.

   __ English Translation Document (if applicable)

13. **FEE CALCULATION**

   a. __ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee ($710)
Total Claims	17 - 20	* 00	x $18.00	00
Independent Claims	1 - 3	* 00	x $80.00	00
_ Multiple dependent claim(s), if any			$270.00	00

*If less than zero, enter "0".

Filing Fee Calculation $710.00

50% Filing Fee Reduction (if applicable) . . . . $

14. Small Entity Status
   a. __ A small entity statement is enclosed.
   b. __ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
   c. __ is no longer claimed.

15. Other Fees
   __X__ Recording Assignment [$40.00] $40.00
   __ Other fees
   Specify _____ . . . . $

Total Fees Enclosed $750.00

16. Payment of Fees
   __X__ Check(s) in the amount of $ _750.00_ enclosed.
   __ Charge Account No. 12-1420 in the amount of $__ .
   A duplicate of this transmittal is attached.

17. All correspondence regarding this application should be forwarded to the undersigned attorney:

   Mark C. Pickering
   Limbach & Limbach L.L.P.
   2001 Ferry Building
   San Francisco, CA 94111
   Telephone: 415/433-4150
   Facsimile: 415/433-8716

18. Authorization to Charge Additional Fees

   __X__ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. **A duplicate of this transmittal is attached.**

   LIMBACH & LIMBACH L.L.P.

   By: _____

 October 2, 2000
(Date)   Mark C. Pickering
                                Registration No. 36,239
Attorney Docket No. NSC1-H1700 Attorney(s) or Agent(s) of Record
[PO4797]